

WHISTLEBLOWING POLICY

PERSONS RESPONSIBLE FOR THIS POLICY	Jenny Stringer (PRINCIPAL)
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INTRODUCTION & PURPOSE OF POLICY

Whistleblowing is the reporting, by those working for or on behalf of the school, of suspected wrongdoing on the part of employees, management or SLT. Such wrongdoing might include fraud, malpractice, breach of health and safety law or some other illegal act including a safeguarding concern.

Leiths School of Food and Wine (Leiths) is committed to achieving the highest possible standards of honesty, openness and accountability and relies on its employees to help maintain these standards. In pursuit of these aims, the College encourages all individuals to raise concerns which they may have about the conduct or practices of others. This policy sets out how such concerns will be dealt with. It applies to all employees, volunteers and SLT members. Other individuals performing work on behalf of the school, such as agency workers and contractors, are also encouraged to use it.

This policy aims to give members of staff and others working on behalf of the school the confidence to raise serious concerns using the routes provided, and to reassure staff of the protection they are afforded when they have made a disclosure in good faith. It will be fairly and consistently applied in accordance with the school's commitment to equal opportunities.

This procedure should only be used where all other existing internal procedures are felt to be inappropriate or when a member of staff, for whatever reason, feels inhibited in going through the normal line management. As an example, therefore, if a member of staff has a personal grievance, then it must be raised through the grievance/complaints procedure; it would not be appropriate for it to be raised through this procedure. The procedure is therefore <u>not</u> a route through which employees can raise concerns about mismanagement which may arise from weak management rather than malpractice.

This procedure is for disclosures about matters other than a breach of the employee's own contract of employment. Such concerns should be raised under the grievance/complaints procedure.

LEGISLATION

The Public Interest Disclosure Act 1998 (by way of amendment to the Employment Rights Act 1996) is designed to provide protection to workers who raise genuine concerns about specified matters. These are known as "qualifying disclosures". The specified matters are:

- criminal activity;
- child protection and/or safeguarding concerns;
- miscarriages of justice;
- danger to health and safety;
- damage to the environment;
- failure to comply with any legal or professional obligation or regulatory requirements;
- financial fraud or mismanagement;
- negligence;
- breach of the school's internal policies and procedures including the Staff Code of Conduct;
- conduct likely to damage the school's reputation;
- unauthorised disclosure of confidential information;
- the deliberate concealment of any of the above matters.

The employee raising such a matter has the right not to be dismissed, subjected to any other detriment or victimised because he/she has made the disclosure. The school will take a zero-tolerance approach to any act of harassment or victimisation resulting from a member of staff raising a concern in good faith. A member of staff making an allegation within the scope of this policy will be supported by the school when raising a concern, providing that they:

- Believe the concern to be true
- Are not acting maliciously or making false allegations
- Are not seeking any personal gain.

RAISING A CONCERN

As a first step, a member of staff should normally raise concerns with their immediate line manager, the Principal or the College's Designated Safeguarding Lead (DSL) where this is appropriate to the nature of the concern. If the allegations involve the Principal, the member of staff should raise the matter with the Board's representative on matters pertaining to Safeguarding, Caroline Waldegrave.

Concerns may be raised verbally or in writing, but the earlier the concern is expressed the easier it is to take any required action. Where a concern is raised verbally, the person hearing it must ensure that a written statement of it is made to assist with any subsequent

investigation. Leiths' management will take all concerns raised within the scope of this policy seriously and identify the appropriate level of investigation, taking external advice as necessary. The concerns raised will be treated in confidence, whilst recognising that the individual raising the concerns may need to come forward as a witness at a later date.

The whistleblower may invite a recognised union representative or a work colleague to be present during any meetings or interviews held in connection with the concerns raised.

If a staff member requests that their identity be protected, it will not be disclosed unless the school is required to do so in law. Whilst the school will not refuse to consider anonymous reports, those making them must be aware that it is considerably more difficult to investigate matters properly in such circumstances or to resolve the concern satisfactorily.

While the school encourages members of staff to raise their concerns internally, we also recognises that some staff may feel unable to do this and that they may therefore wish to contact an independent, external organisation.

INVESTIGATING CONCERNS

Preliminary enquiries will be made into the concerns raised to establish whether a formal investigation is required. Immediate action may be taken prior to an investigation being conducted if there are concerns for the safety or welfare of students or others.

If a formal investigation is not to be undertaken, the reasons why will be explained to the individual as soon as possible. If an investigation is undertaken, this will normally be conducted by an appropriate senior individual with no previous involvement with the concerns raised. In certain cases, this may involve advisors and/or an external independent investigator. The investigation will be conducted, where appropriate, in accordance with the school's other relevant internal procedures which could involve the suspension of staff on full pay, without prejudice, whilst the investigation is being carried out. Investigations involving child protection or safeguarding issues will not commence until external advice has been sought from the local authority designated officer (LADO).

Written records will be taken throughout the investigation and the employee will be kept informed of the likely timescale and progress of the investigation. The investigator will be responsible, where possible within 28 days, for reporting formally to the Principal and/or Board Representative for Safeguarding on the outcome of the investigation. The Principal

and/or Board Representative for Safeguarding will be responsible for taking any necessary action, which may include reporting the matter to the local authority, appropriate government department, regulatory agency or the police, and/or taking action under internal procedures (e.g. disciplinary or anti-bullying and harassment). On conclusion of the investigation, the staff member will be informed of the outcome and the proposed action to be taken, whilst respecting the confidentiality of individuals and any legal constraints.

MALICIOUS OR VEXATIOUS COMPLAINTS

If a member of staff makes an allegation in good faith but it is not confirmed by the investigation, no action will be taken against them. If, however, an allegation is made frivolously, maliciously or for personal gain, disciplinary action is likely to be taken in accordance with the school's disciplinary procedure.

CONTACTING EXTERNAL ORGANISATIONS

Leiths encourages all staff to make use of the internal procedure before considering referring concerns outside the school. If the member of staff feels that it is right to take the matter outside the school, contact can be made with a recognised union, local Citizens Advice Bureau, relevant voluntary or independent organisation or legal advisor. The Public Interest Disclosure Act also sets out a number of bodies to which protected disclosures can be made, including HM Revenue & Customs, the Health and Safety Executive and the Serious Fraud Office. Employees should be aware that going directly to the press may limit their protection under the Public Interest Disclosure Act and they could therefore be subject to disciplinary action as a result. An employee considering such a course of action is strongly advised to seek prior advice from their union or an independent organisation such as Public Concern at Work (www.pcaw.co.uk).

MONITORING & REPORTING

The Principal has overall responsibility for overseeing the operation of this policy and for ensuring that appropriate records are maintained of genuine concerns raised and the outcomes. The Principal will report as necessary to the Board of Directors, SLT and to other outside agencies as appropriate.